

10/585739

AP20 Rec'd PCT/PTO 12 JUL 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yasushi TOMA et al.

Serial No. NEW

Filed July 12, 2006

ELECTROLYTIC PROCESSING APPARATUS
[Corresponding to PCT/JP2005/006208
Filed March 24, 2005]

Mail Stop: PCT

Attorney Docket No. 2006_1056A

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No. _____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

ATTACHMENT C

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

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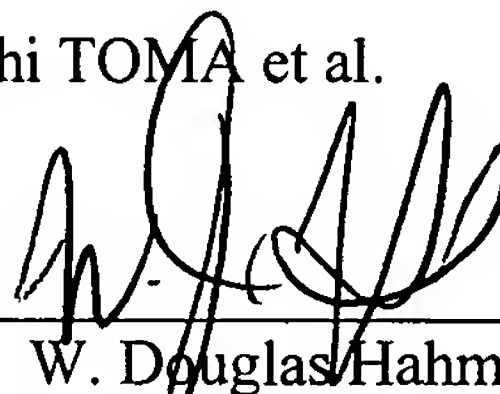
JP2004-002955 WO03/046263 US 2004/0112761 A1
12 JUL 2006

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:
JP2004-002955 corresponds to WO03/046263 and US 2004/0112761 A1.
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Yasushi TOMA et al.

By



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July 12, 2006

| Sheet 1 of 1 | | | | | | | | | | INFORMATION DISCLOSURE STATEMENT | | | | | | | | | |
|--|----|--------------------|-------|---------------|-------|----------|-------------------------------|--|--|---|--|--|--|--|------------------------------------|--|--|--|--|
| FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) <i>(Use several sheets if necessary)</i> Date Submitted to PTO: July 12, 2006 | | | | | | | | | | ATTY DOCKET NO. 2006_1056A | | | | | SERIAL NO. NEW 10/585739 | | | | |
| | | | | | | | | | | APPLICANT Yasushi TOMA AP20 Rec'd PCT/PTO 12 JUL 2006 | | | | | | | | | |
| | | | | | | | | | | FILING DATE July 12, 2006 | | | | | GROUP | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | | | | | | | | |
| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | | | | | | | | | | | | |
| | AA | 2004/0112761 A1 | 06/04 | Saito et al. | | | | | | | | | | | | | | | |
| | AB | 6,722,950 | 04/04 | Dabral et al. | | | | | | | | | | | | | | | |
| | AC | | | | | | | | | | | | | | | | | | |
| | AD | | | | | | | | | | | | | | | | | | |
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| | AI | | | | | | | | | | | | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO | | | | | | | | | | | | |
| | AJ | 2004-002955 | 01/04 | JP | | | Abstract | | | | | | | | | | | | |
| | AK | 03/046263 | 06/03 | WO | | | | | | | | | | | | | | | |
| | AL | | | | | | | | | | | | | | | | | | |
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| | AN | | | | | | | | | | | | | | | | | | |
| OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | | | | | | | | | | | | |
| | AO | | | | | | | | | | | | | | | | | | |
| | AP | | | | | | | | | | | | | | | | | | |
| | AQ | | | | | | | | | | | | | | | | | | |
| EXAMINER | | | | | | | | | | DATE CONSIDERED | | | | | | | | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.